



MINUTES OF A MEETING OF THE AUDIT AND GOVERNANCE COMMITTEE HELD ON 30th JANUARY 2014

PRESENT: Councillor M Gant (Chair), Councillors M Thurgood, M Couchman, K Gant, S People, S Pritchard and P Seekings

Officers John Wheatley (Executive Director Corporate Services), Jane Hackett (Solicitor to the Council and Monitoring Officer), Stefan Garner (Director of Finance) and Angela Struthers (Head of Internal Audit Services)

Visitors James Cook (Grant Thornton)
Joan Barnett (Grant Thornton)

43 APOLOGIES FOR ABSENCE

None. Councillor K Gant attended but left the meeting due to ill health.

44 MINUTES OF THE PREVIOUS MEETING

The minutes of the meeting held on 31 October 2013 were approved and signed as a correct record.

(Moved by Councillor S People and seconded by Councillor M Couchman)

45 DECLARATIONS OF INTEREST

There were no declarations of Interest.

46 THE ROLE OF THE AUDIT COMMITTEE

James Cook of Grant Thornton presented a number of slides on the Role of the Audit Committee for Members.

47 CERTIFICATION REPORT FOR 2012/13

The Report of Grant Thornton (External Auditor) was considered.

- RESOLVED:** That:
- 1 The Certification Report for 2012/13, as it identified no key issues and as Council has good arrangements in place for completion and submission of its claims and returns it be endorsed, and;
 - 2 Thanks to officer efforts is made accordingly.

(Moved by Councillor M Gant and seconded by Councillor M Thurgood)

48 AUDIT PROGRESS REPORT

The Report of Grant Thornton (External Auditor) was considered.

- RESOLVED:** That the Audit Progress Report on the external auditor's responsibilities be endorsed.

(Moved by Councillor S Peple and seconded by Councillor M Gant)

49 FINANCIAL GUIDANCE

The Report of the Head of Internal Audit Services seeking Member endorsement of the recently reviewed Financial Guidance which forms an important part of the Council's regulatory framework, and to provide an opportunity for Members of the Committee to raise any issues they consider appropriate on the subject was considered.

- RESOLVED:** That the Changes to Financial Guidance be endorsed.

(Moved by Councillor M Gant and seconded by Councillor M Thurgood)

50 INTERNAL AUDIT QUARTERLY REPORT 2013/14 QUARTER 3

The Report of the Head of Internal Audit Services reporting on the outcome of Internal Audit's review of the internal control, risk management and governance framework in the 3rd quarter of 2013/14 and providing members with assurance of the ongoing effective operation of an internal audit function and enable any particularly significant issues to be brought to the Committee's attention was considered.

- RESOLVED:** That the Internal Audit Quarterly Report 2013/14 Quarter 3 be endorsed.

(Moved by Councillor M Gant and seconded by Councillor S Pritchard)

51 REGULATION OF INVESTIGATORY POWERS ACT 2000

The Report of the Solicitor to the Council and Monitoring Officer informing Members of the surveillance carried out under the Regulation of Investigatory Powers Act 2000 was considered.

RESOLVED: That the quarterly RIPA monitoring report be endorsed.

(Moved by Councillor M Gant and seconded by Councillor S Peaple)

Chair